

AICPA professional ethics complaint submission form

To file a complaint against an AICPA member, please complete and mail this form to
AICPA
220 Leigh Farm Road
Durham, NC 27707
Attn: Professional Ethics Division

An * (asterisk) denotes required information. If required information is left blank, we will be unable to investigate your complaint.

Complainant information (individual filing the complaint):

If you are filing an anonymous complaint, you may leave this section blank.

First name:	
Last name:	
Address:	
Telephone:	
Email:	
Are you an AICPA member?	

Respondent information (AICPA member you are filing the complaint against):

Name: * List the names of all respondents if more than one is involved. NOTE: You must list either a person's name or a firm's name. We will not be able to open a case without this information.	
Respondents' email addresses:	
Respondents' telephone numbers:	
License numbers: https://cpaverify.org/participating-states/	

Name of company, organization, or firm:	
Address of respondent company, organization, or firm:	
<p>Is the respondent an AICPA member?</p> <p>Contact AICPA member services at 888-777-7077 to determine whether an individual is a member.</p>	
Complainant's relationship to AICPA member (e.g. client, employer, employee):	
Professional services engaged to perform by the AICPA member (e.g. audit, tax, consulting):	
Position of AICPA member at his or her company/organization/firm:	
<p>Summary of the complaint: *</p> <p>Provide clear and concise details of your complaint; indicate pertinent dates and list and submit with your complaint relevant documentation supporting the complaint (i.e. engagement letters, correspondence, or other documentation).</p>	

List any related complaints you have filed with any regulatory agency (e.g., SEC, PCAOB, IRS), state CPA society, or state board of accountancy relating to this matter.
Are the related investigations complete?
Please provide the results of the investigation or investigations if complete:
Are there any legal proceedings related to this matter that are intended, have already commenced or have been adjudicated by a court of law?
If adjudicated, please provide the outcome of this matter:

Please note that The AICPA has the authority to investigate complaints against its members and those of certain state CPA societies that involve potential violations of their respective codes of professional conduct. However, the AICPA does not have the authority to resolve fee disputes, award damages, prosecute in a criminal action, settle claims between parties, or decide on matters involving litigation that have not been adjudicated by a court of law.